

RJMwealth

Investor Questionnaire

Client Name: Telephone Number:

Email Address: Date:

Please select: Investment Account Registered Account

Personal situation

1 How old are you?

- 30 and Under (12 pts)
- 31 – 40 (8 pts)
- 41 – 55 (6 pts)
- 56 – 65 (2 pts)
- Over 65 (0 pts)

2 What is your total annual income (i.e. employment, pension, rental property, investments, etc.)?

Your income level helps us determine your financial goals.

- Under \$50,000 (0 pts)
- \$50,001 – \$100,000 (2 pts)
- \$100,001 – \$300,000 (4 pts)
- Over \$300,000 (6 pts)

3 How much of your monthly pay cheque do you put aside for savings and/or investments?

- Greater than 12% (6 pts)
- 6 – 12% (4 pts)
- 1 – 5% (2 pts)
- I do not save (0 pts)

4 How would you describe the security of your annual income, whether it is from employment or other sources?

- Very secure and stable (12 pts)
- Secure and stable (8 pts)
- Moderately secure and stable (6 pts)
- Somewhat insecure and unstable (2 pts)
- Very insecure and unstable (0 pts)

Investment objectives and goals

5 What is your main objective for this investment?

- To maintain the value of your original investment..... (0 pts)
- Generate regular cash flow..... (2 pts)
- Grow your account and draw regular income from the account..... (6 pts)
- Grow your account..... (8 pts)
- Aggressively grow your account..... (12 pts)

6 When do you expect to withdraw your money?

The length of time you invest will help us determine your ability to tolerate fluctuations in your account.

- Within 3 years..... (0 pts)
- In 3 to 5 years..... (2 pts)
- In 5 to 10 years..... (6 pts)
- In 10 to 15 years..... (12 pts)
- In more than 15 years..... (16 pts)

Attitude towards risk

7 If your investment dropped 25% in value, consistent with the market, how would you respond?

- Cut your losses, sell your investments and hold cash with your entire account balance..... (0 pts)
- Sell half your investments and hold cash; keep remainder invested as is..... (2 pts)
- Monitor the portfolio and reassess the situation in six months..... (8 pts)
- Hold the portfolio and make no changes..... (12 pts)
- Take advantage of the lower price and invest more money..... (14 pts)

8 Which statement best describes your tolerance of market fluctuations?

- Minor fluctuations are tolerable knowing you will likely get a lower return..... (0 pts)
- Some fluctuations in the value of your account are tolerable with a preference for a modest return..... (4 pts)
- Monthly fluctuations in the value of your account are tolerable with the possibility to earn a higher return..... (8 pts)
- You can tolerate daily fluctuations in the value of your account with the likelihood for even higher returns..... (12 pts)

RJMwealth Portfolios - scoring grid

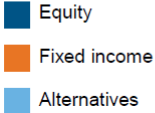
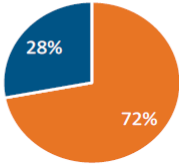
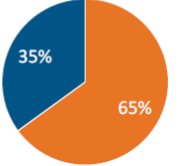
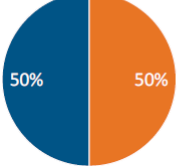
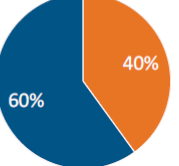
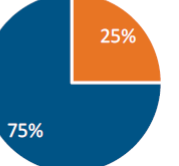
TOTAL SCORE	RECOMMENDATION	EQUITY/FIXED INCOME*
0 to 5	100% Fixed Income Portfolio	0% / 100%
6 to 20	Conservative Income Portfolio/Class	25% / 75%
21 to 35	Conservative Portfolio/Class	35% / 65%
36 to 50	Balanced Portfolio/Class	50% / 50%
51 to 70	Moderate Growth Portfolio/Class	60% / 40%
71 to 85	Growth Portfolio/Class	75% / 25%
86 to 90	Equity Portfolio Class	100% / 0%

*Portfolio weights are approximate and used for illustration purposes.

Sample Portfolio Compositions

Institutional thinking

We use a mix of diversified managed asset investment portfolios; each tailored to a specific risk tolerance and return objective. Our underlying managers include large Canadian institutional asset managers and fund companies, and also small, boutique firms, all of which apply leading institutional investment practices to the design and management of their funds.

	Conservative Income Portfolio	Conservative Portfolio	Balanced Portfolio	Moderate Growth Portfolio	Growth Portfolio
Neutral Asset Mix 					
CIFSC category	Global Fixed Income Balanced	Global Fixed Income Balanced	Global Neutral Balanced	Global Neutral Balanced	Global Equity Balanced
Risk rating	Low	Low	Low to Medium	Low to Medium	Low to Medium
Current asset mix	